

# Connections between Leavitt path algebras and graph $C^*$ -algebras Is there a Rosetta Stone?

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# Overview

- 1 Leavitt path algebras
- 2 Connections to graph  $C^*$ -algebras
- 3 What we know: Similarities and Differences
- 4 What we don't know

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## General path algebras

$K$  always denotes a field. Any field.

Let  $E$  be a directed graph.  $E = (E^0, E^1, r, s)$

$$\bullet s(e) \xrightarrow{e} \bullet r(e)$$

The *path algebra*  $KE$  is the  $K$ -algebra with basis  $\{p_i\}$  consisting of the directed paths in  $E$ . (View vertices as paths of length 0.)

$$p \cdot q = pq \text{ if } r(p) = s(q), \quad 0 \text{ otherwise.}$$

In particular,  $s(e) \cdot e = e = e \cdot r(e)$ .

Note:  $E^0$  finite  $\Leftrightarrow KE$  is unital; then  $1_{KE} = \sum_{v \in E^0} v$ .

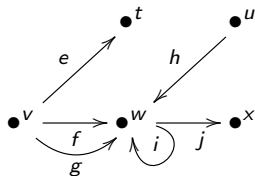
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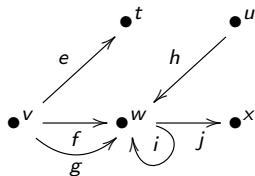
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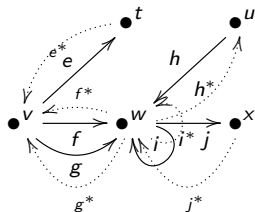
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$$(CK1) \quad e^*e = r(e) \text{ for all } e \in E^1; \quad f^*e = 0 \text{ for all } f \neq e \in E^1.$$

$$(CK2) \quad v = \sum_{\{e \in E^1 | s(e)=v\}} ee^* \text{ for all } v \in E^0$$

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### Definition

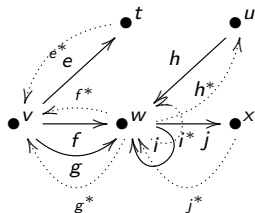
The Leavitt path algebra of  $E$  with coefficients in  $K$

$$L_K(E) = K\widehat{E} / \langle (CK1), (CK2) \rangle$$

## Leavitt path algebras: Examples

Some sample computations in  $L_{\mathbb{C}}(E)$  from the Example:

$$\widehat{E} =$$

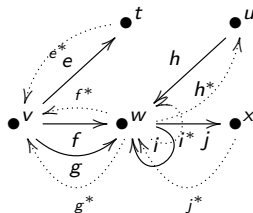


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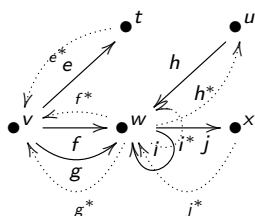
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$$h^*h = w \quad hh^* = u \quad ff^* = \dots \quad (\text{no simplification})$$

$$\text{But } (ff^*)^2 = f(f^*f)f^* = f \cdot w \cdot f^* = ff^*.$$

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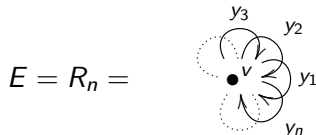
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Then  $L_K(E) \cong M_n(K)$ .

$$E = \bullet v \begin{array}{c} \curvearrowright \\ \curvearrowleft \end{array} x$$

Then  $L_K(E) \cong K[x, x^{-1}]$ .

# Leavitt path algebras: Examples

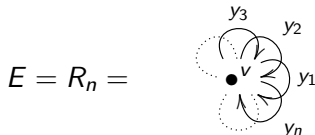


Then  $L_K(E) \cong L_K(1, n)$ , the “Leavitt  $K$ -algebra of order  $n$ ”.

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$$L_K(1, n) = \langle x_1, \dots, x_n, y_1, \dots, y_n \mid x_i y_j = \delta_{i,j} 1_K, \sum_{i=1}^n y_i x_i = 1_K \rangle$$

# Leavitt path algebras

Some general properties of Leavitt path algebras:

- 1  $L_K(E) = \text{span}_K\{pq^* \mid p, q \text{ paths in } E\}$ .
- 2  $L_K(E) \cong L_K(E)^{op}$ .
- 3  $L_K(E)$  admits a natural  $\mathbb{Z}$ -grading:  $\deg(pq^*) = \ell(p) - \ell(q)$ .
- 4  $J(L_K(E)) = \{0\}$ .

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## Graph $C^*$ -algebras

$E$  any directed graph,  $\mathcal{H}$  a Hilbert space.

**Definition.** A **Cuntz-Krieger  $E$ -family** in  $B(\mathcal{H})$  is a collection of mutually orthogonal projections  $\{P_v \mid v \in E^0\}$ , and partial isometries  $\{S_e \mid e \in E^1\}$  with mutually orthogonal ranges, for which:

$$(CK1) \quad S_e^* S_e = P_{r(e)} \text{ for all } e \in E^1,$$

$$(CK2) \quad \sum_{\{e \mid s(e)=v\}} S_e S_e^* = P_v \text{ whenever } v \text{ is a regular vertex, and}$$

$$(CK3) \quad S_e S_e^* \leq P_{s(e)} \text{ for all } e \in E^1.$$

The **graph  $C^*$ -algebra**  $C^*(E)$  of  $E$  is the universal  $C^*$ -algebra generated by a Cuntz-Krieger  $E$ -family.

## Graph $C^*$ -algebras

For  $\mu = e_1 e_2 \cdots e_n$  a path in  $E$ ,  
let  $S_\mu$  denote  $S_{e_1} S_{e_2} \cdots S_{e_n} \in C^*(E)$ .

**The Key Connection:** Consider

$$A = \text{span}_{\mathbb{C}}\{P_v, S_\mu S_\nu^* \mid v \in E^0, \mu, \nu \text{ paths in } E\} \subseteq C^*(E).$$

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Consequently,  $C^*(E)$  may be viewed as the completion (in operator norm) of  $L_{\mathbb{C}}(E)$ .

So it's probably not surprising that there are some close relationships between  $L_{\mathbb{C}}(E)$  and  $C^*(E)$ .

## Graph $C^*$ -algebras: Examples

Here are the graph  $C^*$ -algebras which arise from the graphs of the previous examples.

$$E = \bullet v_1 \xrightarrow{e_1} \bullet v_2 \xrightarrow{e_2} \bullet v_3 \cdots \cdots \bullet v_{n-1} \xrightarrow{e_{n-1}} \bullet v_n$$

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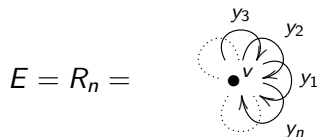
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$$E = \bullet v \curvearrowright$$

Then  $C^*(E) \cong C(\mathbb{T})$ , the continuous functions on the unit circle.

# Graph $C^*$ -algebras: Examples



Then  $C^*(E) \cong \mathcal{O}_n$ , the Cuntz algebra of order  $n$ .

## Brief History

1962: Leavitt defines / investigates  $L_K(1, n)$ .

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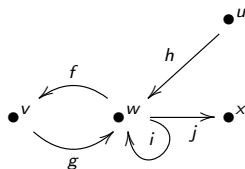
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2005: Leavitt path algebras are defined / investigated.

# Some graph terminology

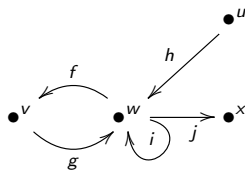
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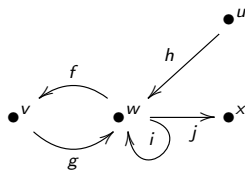
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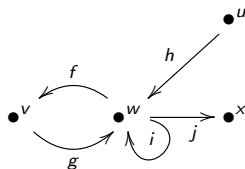
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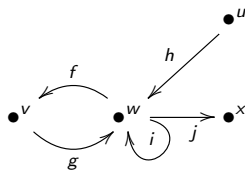
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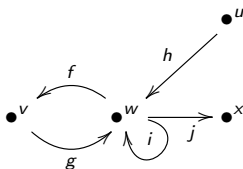
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Standing hypothesis: All graphs are finite (for now) ...



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# Similarities

We begin by looking at some similarities between the structure of  $L_K(E)$  and the structure of  $C^*(E)$ .

# Simplicity

## Simplicity:

*Algebraic*: No nontrivial two-sided ideals.

*Analytic*: No nontrivial closed two-sided ideals.

# Simplicity

**Theorem:** These are equivalent for any finite graph  $E$ :

- 1  $L_{\mathbb{C}}(E)$  is simple
- 2  $L_K(E)$  is simple for any field  $K$
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**Big Question:**

Can we go 'directly' between (1) or (2), and (3) or (4) ??

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**Same Big Question:**

Can we go 'directly' between (1) or (2), and (3) or (4) ??

# Rosetta Stone?

There are many additional examples of this sort of behavior:

For instance:

- 1 primitivity
- 2 exchange property
- 3  $\mathcal{V}$ -monoid (in particular,  $K_0(L_K(E)) \cong K_0(C^*(E))$ )
- 4 possible values of stable rank

But there are no 'direct' proofs for any of them.

**Is there some sort of Rosetta Stone ??**

# The Kirchberg Phillips Theorem

Kirchberg Phillips Theorem (2000): Classification result for a class of  $C^*$ -algebras in terms of  $K$ -theoretic data.

In the context of graph  $C^*$ -algebras for finite graphs, it looks like this:

**Theorem:** Suppose  $E$  and  $F$  are finite graphs for which  $C^*(E)$  and  $C^*(F)$  are purely infinite simple. Suppose

$$(K_0(C^*(E)), [1_{C^*(E)}]) \cong (K_0(C^*(F)), [1_{C^*(F)}]).$$

Then  $C^*(E) \cong C^*(F)$  homeomorphically.

The KP Theorem plays an intriguing role in the Rosetta Stone question.

# Matrices over Leavitt algebras

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W. Paschke and N. Salinas, Matrix algebras over  $\mathcal{O}_n$ , Michigan J. Math, 1979

For which  $d \in \mathbb{N}$  is it the case that  $\mathcal{O}_n \cong M_d(\mathcal{O}_n)$ ?

The answer (in retrospect) follows from the Kirchberg Phillips Theorem: if and only if  $\gcd(d, n - 1) = 1$ .

## Matrices over Leavitt algebras

From the Leavitt path algebra side: Let  $R = L_{\mathbb{C}}(1, n)$ . So  ${}_R R \cong {}_R R^n$ .

So this gives in particular  $R \cong M_n(R)$  as rings.

Which then (for free) gives some additional isomorphisms, e.g.

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Also,  ${}_R R \cong {}_R R^n \cong {}_R R^{2n-1} \cong {}_R R^{3n-2} \cong \dots$ , which also in turn yield ring isomorphisms

$$R \cong M_n(R) \cong M_{2n-1}(R) \cong M_{3n-2}(R) \cong \dots$$

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**Question:** Are there other matrix sizes  $d$  for which  $R \cong M_d(R)$ ?

Answer: In general, yes.



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Answer: In general, yes.

For instance, if  $R = L(1, 4)$ , then it's not hard to show that  $R \cong M_2(R)$  as rings (even though  $R \not\cong_R R^2$  as modules).

Idea: 2 and 4 are nicely related, so these eight matrices inside  $M_2(L(1, 4))$  “work”:

$$X_1 = \begin{pmatrix} x_1 & 0 \\ x_2 & 0 \end{pmatrix}, X_2 = \begin{pmatrix} x_3 & 0 \\ x_4 & 0 \end{pmatrix}, X_3 = \begin{pmatrix} 0 & x_1 \\ 0 & x_2 \end{pmatrix}, X_4 = \begin{pmatrix} 0 & x_3 \\ 0 & x_4 \end{pmatrix}$$

together with their duals

$$Y_1 = \begin{pmatrix} y_1 & y_2 \\ 0 & 0 \end{pmatrix}, Y_2 = \begin{pmatrix} y_3 & y_4 \\ 0 & 0 \end{pmatrix}, Y_3 = \begin{pmatrix} 0 & 0 \\ y_1 & y_2 \end{pmatrix}, Y_4 = \begin{pmatrix} 0 & 0 \\ y_3 & y_4 \end{pmatrix}$$

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if  $d|n^t$  for some  $t \in \mathbb{N}$ , then  $L(1, n) \cong M_d(L(1, n))$ .

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If  $R = L(1, n)$ , then the “type” of  $R$  is  $n - 1$ . (Think: “smallest difference”). Bill Leavitt showed the following in his 1962 paper:

The type of  $M_d(L(1, n))$  is  $\frac{n-1}{g.c.d.(d, n-1)}$ .

In particular, if  $g.c.d.(d, n - 1) > 1$ , then  $L(1, n) \not\cong M_d(L(1, n))$ .

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**Conjecture:**  $L(1, n) \cong M_d(L(1, n)) \Leftrightarrow g.c.d.(d, n - 1) = 1$ .

## Matrices over Leavitt algebras

In general, using this same idea, we can show that:

if  $d|n^t$  for some  $t \in \mathbb{N}$ , then  $L(1, n) \cong M_d(L(1, n))$ .

On the other hand ...

If  $R = L(1, n)$ , then the “type” of  $R$  is  $n - 1$ . (Think: “smallest difference”). Bill Leavitt showed the following in his 1962 paper:

The type of  $M_d(L(1, n))$  is  $\frac{n-1}{g.c.d.(d, n-1)}$ .

In particular, if  $g.c.d.(d, n - 1) > 1$ , then  $L(1, n) \not\cong M_d(L(1, n))$ .

**Conjecture:**  $L(1, n) \cong M_d(L(1, n)) \Leftrightarrow g.c.d.(d, n - 1) = 1$ .

(Note:  $d|n^t \Rightarrow g.c.d.(d, n - 1) = 1$ .)



## Matrices over Leavitt algebras

Smallest interesting pair: Is  $L(1, 5) \cong M_3(L(1, 5))$ ?

We are led “naturally” to consider these five matrices (and their duals) in  $M_3(L(1, 5))$ :

$$\begin{pmatrix} x_1 & 0 & 0 \\ x_2 & 0 & 0 \\ x_3 & 0 & 0 \end{pmatrix} \quad \begin{pmatrix} x_4 & 0 & 0 \\ x_5 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 0 & x_1^2 \\ 0 & 0 & x_2 x_1 \\ 0 & 0 & x_3 x_1 \end{pmatrix} \quad \begin{pmatrix} 0 & 0 & x_4 x_1 \\ 0 & 0 & x_5 x_1 \\ 0 & 0 & x_2 \end{pmatrix} \quad \begin{pmatrix} 0 & 0 & x_3 \\ 0 & 0 & x_4 \\ 0 & 0 & x_5 \end{pmatrix}$$

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Everything went along nicely... **except**, we couldn't see how to generate the matrix units  $e_{1,3}$  and  $e_{3,1}$  inside  $M_3(L(1, 5))$  using these ten matrices.

# Matrices over Leavitt algebras

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Instead, this set (together with duals) works:

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# Matrices over Leavitt algebras

## Theorem

(A-, Ánh, Pardo; *Crelle's J.* 2008) For any field  $K$ ,

$$L_K(1, n) \cong M_d(L_K(1, n)) \Leftrightarrow \text{g.c.d.}(d, n-1) = 1.$$

Indeed, more generally,

$$M_d(L_K(1, n)) \cong M_{d'}(L_K(1, n')) \Leftrightarrow \\ n = n' \text{ and } \text{g.c.d.}(d, n-1) = \text{g.c.d.}(d', n-1).$$

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Moreover, we can write down the isomorphisms explicitly.

Along the way, some elementary (but apparently new) number theory ideas come into play.

Given  $n, d$  with  $\text{g.c.d.}(d, n - 1) = 1$ , there is a “natural” partition of  $\{1, 2, \dots, n\}$  into two disjoint subsets.

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Here's what made this second set of matrices work. Using this partition in the particular case  $n = 5, d = 3$ , then the partition of  $\{1, 2, 3, 4, 5\}$  turns out to be the two sets

$$\{1, 4\} \quad \text{and} \quad \{2, 3, 5\}.$$

The matrices that “worked” are ones where we fill in the last columns with terms of the form  $x_i x_1^j$  in such a way that  $i$  is in the same subset as the row number of that entry.

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The number theory underlying this partition in the general case where  $\text{g.c.d.}(d, n - 1) = 1$  is elementary. But we are hoping to find some other 'context' in which this partition process arises.

## Matrices over Leavitt algebras

**Computations when  $n = 5, d = 3$ .**

$\gcd(3, 5 - 1) = 1$ . Now  $5 = 1 \cdot 3 + 2$ , so that  $r = 2, r - 1 = 1$ , and define  $s = d - (r - 1) = 3 - 1 = 2$ .

Consider the sequence starting at 1, and increasing by  $s$  each step, and interpret mod  $d$  ( $1 \leq i \leq d$ ). This will necessarily give all integers between 1 and  $d$ .



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Now extend these two sets mod 3 to all integers up to 5.

$$\{1, 4\} \cup \{2, 3, 5\}$$

# Matrices over Leavitt algebras

Does this look familiar?

## Matrices over Leavitt algebras

**Corollary.** (Answer to the Paschke Salinas Question)

$$\mathcal{O}_n \cong M_d(\mathcal{O}_n) \Leftrightarrow g.c.d.(d, n-1) = 1.$$

(And the isomorphisms are explicitly described.)

**Proof.** The explicitly constructed algebraic isomorphism between the matrices over Leavitt path algebras turns out to preserve the  $*$  structure, and so (easily) can be shown to extend to the corresponding completions.

# Matrices over Leavitt algebras

An important recent application:

For each pair of positive integers  $n, r$ , there exists an infinite, finitely presented simple group  $G_{n,r}^+$ .

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**Proof.** Show that  $G_{n,r}^+$  can be realized as an appropriate subgroup of the invertible elements of  $M_r(L_{\mathbb{C}}(1, n))$ , and then use the explicit isomorphisms provided in the A - , Ánh, Pardo result.

# Differences

We now look at some differences between the structure of  $L_K(E)$  and the structure of  $C^*(E)$ .

# Primeness

*Algebraic:*  $R$  is a prime ring in case  $\{0\}$  is a prime ideal of  $R$ ; that is, in case for any two-sided ideals  $I, J$  of  $R$ ,  $I \cdot J = \{0\}$  if and only if  $I = \{0\}$  or  $J = \{0\}$ .

**Theorem.**  $K$  any field,  $E$  any graph.

$L_K(E)$  is prime  $\Leftrightarrow E$  is downward directed.

# Primeness

*Analytic:*  $A$  is a prime  $C^*$ -algebra in case  $\{0\}$  is a prime ideal of  $A$ ; that is, in case for any closed two-sided ideals  $I, J$  of  $R$ ,  $I \cdot J = \{0\}$  if and only if  $I = \{0\}$  or  $J = \{0\}$ .

**Theorem:**  $C^*(E)$  is prime  $\Leftrightarrow E$  downward directed **and** satisfies Condition (L).

So for example  $L_K(\bullet \curvearrowright)$  is prime, but  $C^*(\bullet \curvearrowright)$  is not prime.

## More Differences

Here are some additional properties which differ between Leavitt path algebras and graph  $C^*$ -algebras.

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- 2 There is no Bott periodicity for  $L_K(E)$ .
- 3  $\mathcal{O}_2 \otimes \mathcal{O}_2 \cong \mathcal{O}_2$ , but  $L_{\mathbb{C}}(1, 2) \otimes L_{\mathbb{C}}(1, 2) \not\cong L_{\mathbb{C}}(1, 2)$ .



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- 1 Leavitt path algebras
- 2 Connections to graph  $C^*$ -algebras
- 3 What we know: Similarities and Differences
- 4 What we don't know

## What we don't know ...

We continue by looking at properties for which

*we do not currently know*

whether these give similarities or differences between the structure of  $L_K(E)$  and the structure of  $C^*(E)$ .

# The isomorphism question

Perhaps the most basic question ...

If  $L_{\mathbb{C}}(E) \cong L_{\mathbb{C}}(F)$ , does this imply  $C^*(E) \cong C^*(F)$ ?

And conversely?

(Need to interpret “isomorphism” appropriately.)

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And conversely?

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Partial answer: OK in case the graph algebras are simple.

But this result uses some heavy classification machinery, *including the Kirchberg Phillips Theorem*.

Answer not known in general.

Converse? It's not known whether  $C^*(E) \cong C^*(F)$  implies  $L_{\mathbb{C}}(E) \cong L_{\mathbb{C}}(F)$ , even in the simple case.

# An algebraic Kirchberg Phillips Theorem?

## An algebraic Kirchberg / Phillips Theorem?

We currently don't know whether there is an algebraic analog to the KP Theorem for purely infinite simple Leavitt path algebras. That is ....

Let  $K$  be a field. Suppose  $E$  and  $F$  are finite graphs for which  $L_K(E)$  and  $L_K(F)$  are purely infinite simple. Suppose

$$(K_0(L_K(E)), [1_{L_K(E)}]) \cong (K_0(L_K(F)), [1_{L_K(F)}]).$$

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Does this imply that  $L_K(E) \cong L_K(F)$  ?

“Algebraic KP Question”



## An algebraic Kirchberg Phillips Theorem?

Here's one approach which could possibly be used to answer the Algebraic KP Question. We try to re-prove or re-interpret the KP Theorem using techniques which might possibly be applicable in the algebraic setting. Here's a possible way to do that:

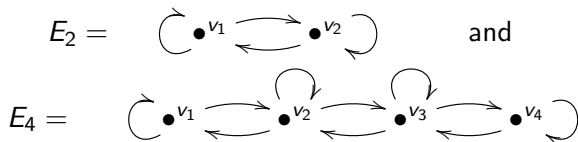
(Step 1) Use results from symbolic dynamics to show that the isomorphism  $C^*(E) \cong C^*(F)$  follows in case one also assumes that  $\det(I - A_E) = \det(I - A_F)$ .

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(Step 1) Use results from symbolic dynamics to show that the isomorphism  $C^*(E) \cong C^*(F)$  follows in case one also assumes that  $\det(I - A_E) = \det(I - A_F)$ .

(Step 2) Use KK-theory to show that the graph  $C^*$ -algebras  $C^*(E_2)$  and  $C^*(E_4)$  are isomorphic:



(These have identical  $K$ -theory, but different determinants.)

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(Step 4) Show such an isomorphism exists.

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For Leavitt path algebras we have:

**“Restricted” Algebraic KP Theorem:** In this situation, *if we also assume*  $\det(I - A_E) = \det(I - A_F)$ , then we get  $L_K(E) \cong L_K(F)$ . (The proof uses the same deep results from symbolic dynamics mentioned above.)

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Is there an explicit isomorphism from  $C^*(E_2)$  to  $C^*(E_4)$  that we can possibly exploit?

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Is there a good analog to KK theory in the algebraic context?

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**If** it turns out that  $L_K(E_2) \cong L_K(E_4)$ , it's not clear how one could use this to establish isomorphisms between Leavitt path algebras of different pairs of graphs for which the  $K$ -theory matches up but the signs of the determinants do not.



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**Algebraic KP Conjecture:** Yours is as good as anyone else's ...

There are three possibilities: Yes, No, and Sometimes. The answer will be interesting, no matter how things play out.

# Thank you.

Thanks also to The Simons Foundation.